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PTO/SB/30 (09-04)

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# Request for Continued Examination (RCE) Transmittal

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/863,735
Filing Date	May 23, 2001
First Named Inventor	Naishin Seki
Art Unit	3627
Examiner Name	Cuff, Michael A.
Attorney Docket Number	JA9-2000-0085 (8728-516)

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0510/ IBM/Yorktown Heights have enclosed a duplicate copy of this sheet.
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	May 24, 2005
Name (Print/Type)	Koon Hon Wong	Registration No.	48,459

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	May 24, 2005
Name (Print/Type)	Koon Hon Wong		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Seki et al. Examiner: M. Cuff  
Serial No: 09/863,735 Group Art Unit: 3627  
Filed: May 23, 2001 Docket: JA9-2000-0085 (8728-516)  
For: SERVER, INFORMATION COMMUNICATION TERMINAL,  
PRODUCT SALE MANAGEMENT METHOD, AND STORAGE  
MEDIUM AND PROGRAM TRANSMISSION APPARATUS  
THEREFOR

Mail Stop RCE  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CONTINUED EXAMINATION**

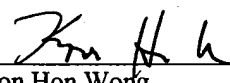
Sir:

This is a response to the Advisory Action mailed on May 10, 2005 and the Final Office Action mailed February 24, 2005. Reconsideration of the claim rejections is respectfully requested.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop RCE, Commissioner for Patents, PO Box 1450, Alexandria VA, 22313-1450 on May 24, 2005.

Dated: 5-24-05

  
Koon Hon Wong

## PATENT APPLICATION

Attorney Docket: JA9-2000-0085 (8728-516)

In re application of: Seki et al.  
 Serial No.: 09/863,735  
 Filed: May 23, 2001  
 For:

Group Art Unit: 3627

Examiner: M. Cuff

**SERVER, INFORMATION COMMUNICATION TERMINAL, PRODUCT SALE  
 MANAGEMENT METHOD, AND STORAGE MEDIUM AND PROGRAM  
 TRANSMISSION APPARATUS THEREFOR**

Mail Stop RCE  
 Commissioner for Patents  
 PO Box 1450  
 Alexandria VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	17*	MINUS	20**	= 0 X 9	\$ 0 X 18 \$ 0
INDEP.	9*	MINUS	9***	= 0 X 44	\$ 0 X 88 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 150	\$ X 300 \$ 0
				TOTAL	OR TOTAL \$ 0

ADDIT. FEE \$ .00

\*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\*If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\*If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

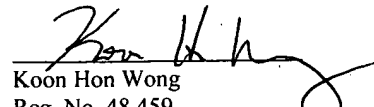
The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1  
 of a prior amendment or the number of claims originally filed.

☐ Please charge Deposit Account No. 50-0510/IBM in the amount of \$ . Two (2) copies of this sheet are enclosed.☐ Please charge fee of \$ . for by Credit Card Payment Form PTO-2038 enclosed herewith

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at  
 any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM.  
 Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition  
 to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE  
 ENCLOSED.**

Respectfully submitted,

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 Attorney for Applicant(s)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

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 on May 24, 2005.

Dated: 5-24-05

  
 Koon Hon Wong

